ACTIVITY 1: WGEI ADMINISTRATION

By Maxwell P. Ogentho

At

4th WGEI members' meeting

27th – 29th May 2019
Presentation outline

• Background of WGEI

• Management structure of WGEI and changes
  – WGEI Secretariat
  – Steering Committee
  – Terms of Reference

• Progress of WGEI against INTOSAI objectives
  – Outstanding activities

• Going Forward
This presentation intends:

- To provide members with a 6-year evaluation of WGEI performance since inception in 2013.
- Enable members to establish whether the Working Group is making progress towards its intended objective.
- Reflect on the purpose of Working Groups according to INTOSAI as it relates to WGEI, especially item 1.2.4 of INTOSAI handbook for committees.
- Provide a basis for the report on WGEI to XXIII INCOSAI-Moscow, Russia 2019:
- Prompt members to discuss the future of WGEI.
Introduction of the report

• Presents holistic picture of Working Group:
  – Achievements so far made,
  – Challenges being faced,
  – Attempt to project the future for WGEI and
  – Call for continued existence of WGEI to enable it accomplish the objectives set for the group by INCOSAI.
• Exposed WGEI members to priority areas of EI for focus of SAIs in the coming years.
• Developed new networks with non SAI EI stakeholders.
INTOSAI Working Group on Audit of Extractive Industries (WGEI)
3rd Annual Meeting - Mombasa, Kenya
24th – 26th August 2016
PridelInn Paradise Beach Hotel & Spa: Mombasa, Kenya

FOR MORE INFORMATION - CLICK HERE
• WGEI established to “harness knowledge for SAIs in the Extractive Industries (EI) sector in order to address governance concerns in countries endowed with extractive resources”.

• Operates under the Knowledge Sharing and Knowledge Services Committee (Goal 3), Chaired by the Comptroller & Auditor General of India.

• The scope cover Oil, Gas and Solid minerals.
General Overview of work plan performance

- Work plan has 6 activities: Administration, information sharing, Training, Identify EI audit materials and undertake research, Knowledge and experience sharing, and Networking with stakeholders.

- 21 sub-activities in total. Results realised in 18 sub-activities (85% performance).
WGEI Secretariat

Head of Secretariat
- Oversees activities of Secretariat
- Monitors implementation of WGEI work plan

Coordinator CoP
- Issues newsletters (14 issues published to date, 947 Subscribers)
- Collects EI resources (321 resources uploaded, 40 useful links)
WGEI Secretariat Cont...

- Facilitates exchange and networking among members
- Outreach to stakeholders (including INTOSAI organs)

ITO WGEI
- Manages WGEI website
- Handles all WGEI IT related matters
Outstanding Sub-Activities:

1. Mobilizing resources for running secretariat activities (Activity 1) - Efforts made but not successful

2. Piloting e-learning course (Activity 3) - Discussions on-going

3. Undertake research on EI specific subject matter (Activity 4) - Carried forward to new Work plan
**Report on Activity 1: WGEI Administration**

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<thead>
<tr>
<th>S/NO</th>
<th>Sub-Activity</th>
<th>Progress</th>
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<tbody>
<tr>
<td>i</td>
<td>Preparation and organising WGEI annual meetings.</td>
<td>• Members’ meetings adjusted to every 3 years.</td>
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<td>• Current meeting organised</td>
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<td>ii</td>
<td>Organising regular steering committee (SC) meetings.</td>
<td>• Annual SC meetings held in 2017 and 2018</td>
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<td>• Monthly SC Skype meetings held in 2017 and 2018, adjusted to quarterly in 2019</td>
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<tr>
<td>iii</td>
<td>Mobilizing resources for running secretariat activities</td>
<td>• Efforts made but not yet successful. Proposals being developed</td>
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<td>iv</td>
<td>Management of WGEI website</td>
<td>• Functioning WGEI website, to be migrated to KSC portal</td>
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<td>v</td>
<td>Establish Activity Leaders among the SAI members.</td>
<td>• Each activity headed by member of the SC</td>
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<td>vi</td>
<td>Establishing and maintain close working relationship with other INTOSAI working groups e.g. WGEA, CBC etc.</td>
<td>• Working relationship with INTOSAI organs eg CBC, WGEA, AROSAI-E, ARABOSAI</td>
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<tr>
<td>vii</td>
<td>Reporting</td>
<td>• Attended and reported to KSC at annual meetings of 2017 and 2018</td>
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• Steady increase in membership
• Fully operational Secretariat based in SAI Uganda. Community of Practice Coordination (COP) office, linking SAIs and external players in the extractive industry.
• Operational steering committee comprising five SAIs: Uganda, Norway, South Africa, Iraq and USA (which replaced Brazil).
Steering Committee (SC)

SC comprised of 10 members namely: Uganda, South Africa, US, Iraq, Norway, Zambia, Ghana, India, Fiji and Ecuador

Roles

• Support Chair in management of WGEI Secretariat
• Approve products and documents.
• Lead or co-lead an activity in the WGEI Activity plan
• Represent and advocate for WGEI in their respective regions, and liaise with the regional secretariats.
The SC held annual meetings in 2017 and 2018.

**Resolutions of 2017 meeting:**

- Steering Committee to increase to 10 members
- Annual SC meeting, Tri-annual members’ meeting
- WGEI learning task force established
- Working arrangement with INTOSAI regional bodies
- Networking with external stakeholders
Resolutions of 2018 meeting:

- Update ToRs for WGEI, SC and Coordinator CoP
- EI Audit Training Framework approved
- Support collaborative audit initiatives
- Design a funding plan for WGEI
At the SC meeting of 2018 the Terms of Reference (ToRs) were reviewed and it was resolved to update them. The major changes were:

**WGEI ToRs:**

- **3.2 Outcomes:** Distinguished between outcomes for Working Group and outcomes for SAIs
- **4.4 Meetings:** Elaborated frequency of meetings (both SC and Members)
• 4.9 Steering Committee: Adjusted from 5 to 10 members. Detailed term of SC members.

SC ToRs

• 2 Members: Adjusted number of members, stated term of members and elaborated on composition

• 3 Responsibility: Adjusted to include activity lead and representative and advocate for WGEI in INTOSAI regions
• 4 Meetings: Adjusted SC meetings to once every quarter

Coordinator CoP ToRs
• Minor adjustments to reflect evolution of WGEI
## Progress of WGEI against INTOSAI objectives

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<tr>
<th>S/NO</th>
<th>INTOSAI OBJECTIVE</th>
<th>PROGRESS</th>
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<td>1</td>
<td>To remedy lack of audit knowledge by SAIs in the extractive industry</td>
<td>• Training in EI undertaken&lt;br&gt;• Available EI resources collected and made available&lt;br&gt;• Benchmarking between members&lt;br&gt;• Non FIPP products being developed</td>
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<td>2</td>
<td>To have one point location where information on audit of extractive industry can be obtained</td>
<td>• Community of Practice Office operated by 2 full time staff&lt;br&gt;• Functioning WGEI website</td>
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<td>3</td>
<td>To provide a community for exchange of EI information both for SAIs and non SAIs</td>
<td>• Quarterly Newsletter (variety of contributors)&lt;br&gt;• Webinars conducted&lt;br&gt;• Engaging with both Internal and External Stakeholders</td>
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Going Forward

- Utilise products developed
- Implement new Work plan (2020 – 2022)
- Develop new products based on members’ needs
- Solidify working relationship with INTOSAI regions
- Strengthen collaboration with external stakeholders
Thank you for your attention
Thank you for listening

www.wgei.org